



PATENT Attorney Docket No.: AVARS-02500

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 2616

162 N. Wolfe Road Sunnyvale, CA 94086

(408) 530-9700

Examiner: Ho, Chuong T.

TRANSMITTAL LETTER

In re A	pplication of:
Michae	el A. Lloyd et al.
Serial	No.: 10/070,515
Filed:	July 25, 2002
For:	METHOD AND APPARATUS FOR THE ASSESSMENT AND

OPTIMIZATION OF NETWORK) Customer No.: 28960
TRAFFIC)

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Supplemental Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. <u>08-1275</u>. An originally executed duplicate of this transmittal is enclosed for this purpose.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: 11-1-06

Thomas B. Haverstock Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR§ 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

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Data: 11-1-06 P.

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Examiner: Ho, Chuong T.

CERTIFICATION FOR

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37

CFR § 1.97(e)

162 N. Wolfe Road Sunnyvale, CA 94086 (408) 530-9700

Customer No.: 28960

MS: Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

Certification

I, the person signing below, hereby certify that the printed publications contained in the enclosed supplemental information disclosure statement were cited within an Official Action mailed on September 29, 2006. Accordingly, I hereby certify that no reference contained within this supplemental information disclosure statement was cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this supplemental information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement. 37 C.F.R. 1.97(e)(2).

<u>PATENT</u> Attorney Docket No.: AVARS-02500

Identification of Person Making Certification

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Thomas B. Haverstock

Reg. No.: 32,571

Attorneys for Applicants

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162 N. Wolfe Road Sunnyvale, CA 94086 (408) 530-9700

Customer No.: 28960

Examiner: Ho, Chuong T.

SUPPLEMENTAL INFORMATION

DISCLOSURE STATEMENT

In re A	application of:)))		
Micha	el A. Lloyd et al.)))))))) R)		
Serial No.: 10/070,515				
Filed:	July 25,2002)		
For:	METHOD AND APPARATUS FOR)		

OPTIMIZATION OF NETWORK

TRAFFIC

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicants have become aware of the following printed publications which may be material to the examination of this application:

- U.S. Patent No. 6,973,490 B1; and
- U.S. Publication No. 2001/0010059 A1.

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Thomas B. Haverstock Reg. No.: 32,571

Attorneys for Applicants

Dated: 11-1-06

CERTIFICATE OF MARLING (37 OFR§ 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date snown below with sufficient postage as first class mail in an envelope addressed to the: Communication of Fatents, P.O. Box 1450 Alexandria, VA

22313-1450

FORM PTO-1449 NOV 0 6 2006 (Modified)

U.S. Department of Commerce Patent and Trademark Office

Attorney Docket No.: AVARS-02500

Serial No.: 10/070,515

INFORMATION DISCLOSURE STATEMENT BY APPLICANT
(Use Several Sheets If Necessary)

PPLICANT Applicants: Michael A. Lloyd et al.

CFR § 1.9	8(b))	(Use Several Sheets If Nec			07/25/2002	Group Art Unit: 2616		
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xaminer Initials		Serial / Patent Number	Issue Date	Applicant / Patente	ee Class	Subclass	Filing	Date
	AA	6,973,490 B1	12/06/2005	Robertson et al.	709	224	06/23/2	2000
	AB	US 2001/0010059 A1	07/26/2001	Burman et al.	709	224	10/28/	1998
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